
IDEA Part B and Preschool Application INSTRUCTIONS

2016-2017

Idaho State Department of Education
Special Education Division



IDAHO STATE DEPARTMENT OF EDUCATION
PO BOX 83720
BOISE, ID 83720-0027

Idaho State Department of Education

IDEA Part B and Preschool Application INSTRUCTIONS

Table of Contents

Accessing the IDEA Part B and Preschool Application	3
IDEA Part B and Preschool Application.....	6
Important General Information.....	6
Time Limits and Saving Your Work	7
Self-Assessment Checklist	7
Submitting the Application and Assurances	7
SDE Review of the Applications	7
Components of the Application.....	8
Getting Assistance with the IDEA Part B and Preschool Application	9
Completing the Application.....	9
Application Components	9
District Home	9
CEIS Budget.....	10
SchoolWide Programs.....	11
Private Schools.....	12
District Charter Schools.....	15
School Age Budgets	18
Preschool Budgets	22
Maintenance of Effort	25
Excess Cost Calculation Guidance	36
Self-Assessment Checklist.....	39
Submit/Assurance	41
Comments	49

Accessing the IDEA Part B and Preschool Application

The IDEA Part B and Preschool Application can be accessed on the Special Education webpage at <http://sde.idaho.gov/sped/funding/>

Funding & Fiscal Accountability

Idaho receives grants each year under Section 611 of the Individuals with Disabilities Education Act (IDEA). The amount of that state allocation determined is outlined in the IDEA regulations.

After setting aside necessary and allowable amounts for state administration and other state-level activities (as described in [34 CFR §300.704\(b\)](#)), the State Department of Education (SDE) gives the remainder of the grant as flow-through subawards to Idaho school districts and Local Education Agencies (LEAs).

In addition to the IDEA Part B funds that may be used to provide special education and related services to children ages 3-21, Idaho receives an annual Preschool grant under Section 619 of IDEA. This is a smaller grant that may only be used to provide special education and related services to children ages 3-5. Although this grant allows for certain amounts to be set aside for state administration and other state-level activities, Idaho has historically flowed 100% of the award through to LEAs. The LEA funding formula for Preschool allocations is found at [34 CFR §300.816](#).

The period of availability for both IDEA grants is from July 1st of the award year until September 30th two years subsequent.

Certified Special Education and Related Special Education Hard To Fill Positions Mini Grant

This is an opportunity to [apply for funding for the 2016-2017 school year](#) for LEAs who operate in rural communities and/or are considered small district/charters. This funding will help LEAs who are struggling to secure and maintain certified Special Education personnel.

Note: Application submissions are CLOSED. We are no longer accepting applications.

For those Districts/Charters whose applications were approved, please use the [2016-2017 Mini Grant Reimbursement Request Form](#). Please email all reimbursement requests to Wendy Lee at wlee@sde.idaho.gov.

[IDEA Part B and Preschool Application](#) | →

[Grant Reimbursement Application \(GRA\) Portal](#) | →

Special Education »

- > Dispute Resolution
- > **Funding & Fiscal Accountability**
Archives
- > Program Monitoring
- > Public Reporting
- > Results Driven Accountability (RDA)
- > Special Education Advisory Panel (SEAP)
- > Special Education Forms
- > Special Education Manual

Archives »

Contact Details

Wendy Lee
Coordinator
(208) 332-6916
wlee@sde.idaho.gov

Staff »

Special Education Directors

Once you have opened the IDEA Part B and Preschool Application, be sure to change the year and login.

DEPARTMENTS COMMUNICATIONS

IDAHO STATE DEPARTMENT OF EDUCATION

Log On

IDEA Part B and Preschool Application

Links

- Home
- IDEA Part B Funding Manual
- Application Instructions

2016-2017

- Change Year

Actions

- Select District

At A Glance

IDEA Part B and Preschool Application

Welcome to Idaho's IDEA Part B and Preschool Application. This is the school district and LEA application for flowthrough funding under the Individuals with Disabilities Education Act (IDEA), Part B, Section 611 and Section 619 (Preschool).

The application for IDEA Part B and Preschool flowthrough funds is open for submission. Applications may be submitted at any time until October 1st. Applications submitted after that date will not be accepted. After submission of the application and approval by SDE, LEAs may retroactively fund allowable costs incurred after July 1 of the current year.

IDEA Part B and Preschool Application

If you have not used the SDE Application Portal before, please create an account on the [Registration page](#).

If you have questions about the application or filling out the forms, please contact the Idaho IDEA Administrator at IdeaAdministrators@sde.idaho.gov.

If you are encountering errors while using the IDEA application, please contact the IT Help Desk at support@sde.idaho.gov.

More Info

The following security and access information applies to the Application.

- Users who have never used any of the SDE Web portal application must first register. This process can be completed by clicking Register on the opening page of the IDEA Application, shown above, and following the registration procedures there.
- Users who are registered but who have never used the IDEA Application must be assigned the IDEA Editor User Role. This can only be done by district or school personnel who have the necessary administrative rights, including:
 - Superintendent
 - Superintendent's Delegate
 - Technology Director
 - Admin Tool User

Special Education Division staff at the SDE cannot assign these rights to a new user.

- Registered users with the IDEA Editor role assigned, log on to the Application by clicking **Log on** at the top of the page, as shown above.



IDAHO

STATE DEPARTMENT OF EDUCATION

Welcome to the Idaho State Department of Education Log On Screen

Please select your appropriate Organization from the drop down list, per the instructions below.

- Students – select the “Student” option
- Employees of the State Dept. of Education – select “SDE”
- District users – select your respective District name
- If your District name is not present – select “Other”
- All other users (including non-District) – select “Other”

-- Select Organization --

- Select Organization (shown above) in order to enter User name and Password (shown below).



IDAHO

STATE DEPARTMENT OF EDUCATION

Log On

Please enter your username and password.

Account Information

User name:

Password:

By entering your user name and password you represent that:

- You are an authorized user
- You have a legitimate educational interest for receiving the disclosure of information through access to Idaho State Department of Education information applications for which you are an authorized user
- You are responsible for ensuring that any re-disclosures of information by you complies with all applicable state and federal statutes and regulations

Applicable state and federal penalties may be imposed for the failure to act in a manner in accordance with the conditions above. The sharing of user account names and/or passwords to others is specifically prohibited and will result in the termination of your access to SDE applications as well as legal penalties if applicable.

Enter in new District information into the **District Info** section and hit **Save**. Once registered users have entered and saved their new District information, the rest of the IDEA Part B and Preschool Application will then become available.

IDEA Part B and Preschool Application

Important General Information

The IDEA Part B and Preschool Application serves as an LEA's application to federal special education funding provided under the Individuals with Disabilities Education Act (IDEA). Each year, Idaho receives two separate grants under IDEA – the Special Education Grants to States authorized under Section 611 of IDEA and Special Education Preschool Grants authorized under Section 619. School Districts may apply for both Section 611 funding (referred to in the Application as School Age) and Section 619 funding (Preschool) using this on-line application process.

The following information applies to these awards:

IDEA Part B School Age (Section 611)	
Authority:	PL 108-446 IDEA
Program Title:	Special Education – Grants to States
CFDA Number	84.027A
Period of Availability	July 1 st of the award year thru September 30 th , 2 years subsequent
IDEA Part B Preschool (Section 619)	
Authority:	PL 108-446 IDEA
Program Title:	Special Education – Preschool Grants
CFDA Number	84.173A
Period of Availability	July 1 st of the award year thru September 30 th , 2 years subsequent

A portion of the School Age award (approximately 25%) is available on July 1st. 100% of the Preschool Award is available as of that date. If LEAs wish to begin obligating these funds, the application will open July 1st each year for submission. *It is important to note, however, that it is possible that the allocations, as calculated at that time, may change, and LEAs that submit early applications may be required to revise their application based on these revisions.*

The full amount of the School Age award becomes available to the state on October 1st of each award year, and those funds may be obligated by the SDE to LEAs. LEAs, however, cannot begin obligating these new funds until the application has been submitted and approved by the SDE.

Time Limits and Saving Your Work

Once you have entered the Application, there is a limited time period during which inactivity is allowed. After 25 minutes of inactivity, the system will issue a five minute warning that your session will expire. It will prompt you to reenter your login information to stay logged in to the system. If you do not reenter your information, you will be logged off after 30 minutes. Be sure to hit the **Save** button frequently at the top of each page, to avoid losing information if your session expires and you are logged out.

Self-Assessment Checklist

Important Note: *Though this section is listed after the Submit/Assurances page, the Self-Assessment Checklist needs to be completed before Submission of the application is possible.*

The “Self-Assessment Checklist” link on the main page will take you to the application’s Fiscal Self-Assessment Accountability Checklist. Each question has a “Show/Hide Description” link that will provide more information about the question. You must respond to each individual question, Yes, No, or N/A. The LEA is encouraged to read these questions carefully because the electronic submission of the Fiscal Self-Assessment Accountability Checklist is a document for which the LEA is fully accountable.

Submitting the Application and Assurances

Important Note: *After you have submitted the application, you will not be allowed to make further changes until SDE staff has completed the review of the application or until SDE staff reopens your access to the submitted application.*

When you are ready to submit the finished application, the “Submit/Assurances” link on the main page will take you to the application’s Assurances pages. You must respond to each individual Assurance, Yes or No. The LEA is encouraged to read these assurances carefully because the electronic submission of the Assurances is a document for which the LEA is fully accountable. You are not required to Print and Sign the Assurances form.

When all assurances have been answered Yes, the **Save and Submit** button at the bottom of the screen will be activated. By clicking that button, the application will be submitted and transmitted to the SDE. A system generated email will notify the SDE and the submitter that the application has been successfully submitted.

SDE Review of the Applications

After SDE staff reviews your IDEA Part B and Preschool Application, the person designated on the District Home screen as the person completing the application will be notified by e-mail that the review is complete and will be directed to review the SDE comments. These comments, which may include clarifications, revisions or additional information, will be available by selecting the “Comments” link on the main screen.

If revisions to the application are necessary, on-line access to the application will be reopened by the SDE so that the necessary changes can be made. To reopen the Application, contact IdeaAdministrator@sde.idaho.gov or 208-332-6910. There is also a “District Replies” box in the comments section, which should be used to provide explanations or other information to SDE IDEA Part B staff.

Components of the Application

The components of the Application are listed in a menu along the left side of the screen, once the user is logged in:

 **IDAHO**
STATE DEPARTMENT OF EDUCATION

Links

[Home](#)

[IDEA Part B Funding Manual](#)

[Application Instructions](#)

2016-2017

[Change Year](#)

VALLIVUE SCHOOL DISTRICT

[District Home](#)

[CEIS Budget](#)

[SchoolWide Programs](#)

[Private Schools](#)

[THOMAS JEFFERSON
CHARTER \(0559\)](#)

[School Age Budgets](#)

[Preschool Budgets](#)

[Maintenance of Effort](#)

[Excess Cost Calculation](#)

[Comments](#)

[Submit/Assurance](#)

[Self-Assessment Checklist](#)

Getting Assistance with the IDEA Part B and Preschool Application

General questions about the application submission process should be directed to:

IdeaAdministrator@sde.idaho.gov

Wendy Lee: 208-332-6916

Grace Dehner: 208-332-6910

Programmatic questions should be directed to SDE special education staff or regional coordinators:

[Special Education Director and Coordinators](#)

[Special Education Regional and Statewide Consultants](#)

Completing the Application

Application Components

District Home

The District Info section of this page must be completed and saved before the other application components are activated and appear on the left side of the page.

Person completing the application

Enter the information for the person completing the application. This should be the primary contact person for any questions that the SDE may have about the application. Please be sure that the phone number and email listed are current and accurate.

LEA is applying for Preschool funds

By default, the box is checked. If the district is not applying for preschool funds, uncheck this box. This will deactivate the Preschool budget forms. This box will not be visible for Charter LEAs.

The school district has determined that there are no private schools

Check this box if there are no private schools within the boundaries of the district. Checking this box will deactivate the Private Schools forms. If it is left unchecked, the district will be required to report all private schools within the district that meet the IDEA definitions.

For more information about the IDEA requirements for Parentally-placed Private School Children, refer to the [IDEA Part B Funding Manual](#) and [Chapter 9](#) of the [Idaho Special Education Manual 2007](#), or the link to Private School FAQs on the Application Home Page.

Business Manager, Special Education Director, Superintendent, Administrator

This section is prefilled with information about the LEA's Business Manager, Special Education Director, Superintendent, and or Administrator by information received from your District/Charter's most recent ISEE Directory Program Contacts File. If any of the information listed here is not current or is incorrect, it should be immediately updated by submitting an updated Directory File via ISEE. Please consult with your District/Charter's IT staff member or contact your Regional ISEE Technical Coordinator at Regionalcoordinatros@sde.idaho.gov if you need assistance.

CEIS Budget

If the district or LEA intends to set-aside IDEA Part B funds for Coordinated Early Intervening Services (CEIS), these budgets should be completed prior to completing the School Age Budgets. Any amounts budgeted here will be automatically entered on the current year School Age Budget as a line item amount.

Refer to the CEIS section in the [IDEA Part B Funding Manual](#).

A school district or LEA may budget up to 15% of its total IDEA Part B allocation for the purpose of providing CEIS to students who are not currently identified as needing special education or related services, but who need additional academic and behavioral support to succeed in a general education environment.

Coordinated Early Intervening Services (CEIS) for 2016-2017

If the LEA will use up to 15% of its total allocations of IDEA Part B funds for CEIS, complete the sections below.

Amount budgeted:

CEIS Plan Narrative:

(The plan provided here must be detailed and in alignment with the allowable uses of Part B funds for CEIS and Suggested Activities.)

Assurances: By budgeting amounts for Coordinated Early Intervening Services the LEA provides assurance that it will submit to the SDE in subsequent years, the following information regarding the children served using these funds.

- The number of children who receive CEIS in the current school year.
- The number of those children who subsequently receive special education and related services
 - Within the first year
 - Within the second year

The LEA further ensures that it will submit or have available for review, as required by the SDE, all documents and information required to demonstrate compliance with federal regulations regarding the implementation of CEIS using IDEA Part B funds and that IDEA Part B funds will be used to supplement and not supplant other funds used for these purposes including funds made available under the ESEA for these purposes.

Amount budgeted

The application is programmed so that no more than 15% of the total allocations may be entered here.

CEIS Plan Narrative

If any amount is budgeted above, a detailed narrative that meets all of the requirements of an adequate CEIS plan must be provided in this field. These plans will be carefully reviewed. A plan that does not meet adequate standards will be disapproved, causing the application to be disapproved.

Assurances

The final section of the CEIS page is the assurance that an LEA must provide if Part B funds are budgeted for this purpose.

SchoolWide Programs

In general, the Special Education Division does not encourage consolidating IDEA Part B funds in Schoolwide Programs and such consolidations are rarely approved. **Prior to completing this form and submitting the Part B Application, a LEA must contact the Special Education Funding and Accountability Coordinator to determine if this is an appropriate use of funds.**

Refer to the Schoolwide section in the [IDEA Part B Funding Manual](#).

If an LEA will consolidate IDEA Part B funds with other funds to carry out an approved schoolwide program under Title I of the ESEA, it must complete this component of the application. An LEA may budget an amount of Part B funds for schoolwide consolidation that is proportionate to the number of students with disabilities that are served in approved schoolwide programs in the LEA. The application is designed to calculate the maximum amount that may be budgeted for this purpose.

Schoolwide Programs under Title I of ESEA for 2016-2017	
If the LEA will use IDEA Part B funds for Schoolwide Programs, complete the section below to calculate the maximum amount that may be used for Schoolwide Programs	
A) Number of children with disabilities served by the LEA as of the date of this application	<input type="text"/>
B) Number of children with disabilities in the Schoolwide programs	<input type="text"/>
C) LEA allocation for the current year (sum of SchoolAge and Preschool Allocations)	\$1,485,016
D) Maximum amount that may be budgeted for the Schoolwide programs ($(B \div A) \times C$)	\$0
E) Enter the Amount Budgeted	\$0
<p>Schoolwide Plan Narrative (The plan must include a detailed description of how these funds will be used to support the schoolwide plan and a list of all schoolwide schools in which the funds will be used, including the number of children with disabilities in each school.)</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>	
<p>Assurances: By budgeting amounts for Schoolwide Programs under Title I of ESEA, the LEA provides assurance that it will comply with all applicable provisions of 34CFR §300.206 as well as all applicable provisions of Title I of ESEA including 34CFR §200.26.</p>	
<input type="button" value="Save"/>	

Section A - E

- A. Enter the total number of children with disabilities in the district or LEA (child count).
- B. Enter the portion of the above who are served in schools with approved schoolwide programs.
- C. This line is pre-filled with the total of the LEA's Part B and Preschool allocation.
- D. The system will calculate the maximum amount that may be budgeted for this purpose.
- E. Enter the amount to be budgeted – no more than the amount in D.

Schoolwide Plan Narrative

List all of the schoolwide schools in which these funds will be used along with a detailed description of how the funds will support the schoolwide plan.

Assurances

The final section of the Schoolwide page is the assurance that an LEA must provide if Part B funds are budgeted for this purpose.

Private Schools

If the district or LEA intends to set-aside IDEA Part B funds for Private Schools, these budgets should be completed prior to completing the School Age Budgets. Any amounts budgeted here will be automatically entered on the current year School Age Budget as a line item amount.

Refer to the *Parentally-Placed Private School Children* section in the [IDEA Part B Funding Manual](#) and [Chapter 9](#) of the [Idaho Special Education Manual 2007](#).

This section is not activated for charter school LEAs.

If a school district has not checked the box on the District Home page, indicating that there are no private schools located with the district, this section must be completed.

The Private Schools component of the application consists of 2 sections (tabs):

- Private Schools
- Proportionate Share Calculation.

Private School Calculations for 2016-2017

Private Schools
Proportionate Share Calculation

The district has contacted the following private schools located within the district for the purpose of conducting consultations with representatives of the private school and representatives of parents of children with disabilities within the private school for the purpose of provision of special education and related services to children with disabilities enrolled by their parents in private schools. In compliance with IDEA Part B regulations, the district must contact and list here ALL private schools within the district that meet the definition of Elementary or Secondary Schools in 34 CFR Section 300.13 and/or Section 300.36.

Private School	Most Recent Date Contacted by District	Total Current Enrollment (all students)	School representative participated in consultations	Signed Affirmation of Consultation on file	Grades Served	
CALVARY CHAPEL CHRISTIAN SCHOOL	7/30/0116	72	No	No		<input type="checkbox"/> Delete
CENTENNIAL BAPTIST SCHOOL	7/30/0116	170	No	No		<input type="checkbox"/> Delete
NAMPA CHRISTIAN SCHOOL	7/30/0116	184	No	No		<input type="checkbox"/> Delete
GREENLEAF FRIENDS ACADEMY	7/30/0116	178	No	No		<input type="checkbox"/> Delete
GEM STATE ACADEMY	7/30/0116	115	No	No		<input type="checkbox"/> Delete

Private Schools

Click the **Add School** button on the *Private Schools* tab to view the following:

Private School Calculations for 2016-2017

Add Private School

Private School: --Select School--

Most Recent Date Contacted by District (mm/dd/yyyy):

Total Current Enrollment (all students): 0

Number of students identified as eligible for special ed or related services: 0

A school representative participated in consultations:

Signed Affirmation of Consultation on file:

Save

Private School

The only schools that can be entered are schools that appear on the drop-down list that is populated from the SDE core database. Be very careful when selecting schools from this list as many have very similar names. *Be sure the one that you select is the one that is actually in your district.* If you do not find a private school on the drop down list, send the following information to the IDEAAdministration@sde.idaho.gov:

- Name of school
- Address
- Telephone
- Contact
- Grades served

We will notify you by email when the school has been added to the database.

Most Recent Date Contacted by District

Enter the date that the district contacted the private school for the purpose of initiating a consultation. Districts are not required to contact or consult with schools annually; however, the date reported here should be the most recent contact date.

Total Current Enrollment

Enter the Total Current Enrollment of the school.

Important Note: *This is for ALL students, not only students who may be eligible for special education services.*

The SDE has no means of obtaining enrollment information from private schools, so it is up to each district to obtain a current, accurate enrollment number from each private school reported. This number will be used in calculating your district's allocation amount in the subsequent year.

Report only those schools that meet the definition of an Elementary or Secondary, non-profit school. To report a preschool or kindergarten, it must be a part of a school that includes grade 1 or above.

Do not report:

- For-profit private schools
- Free-standing preschools
- Free-standing kindergartens.

Private School Calculations for 2016-2017

Private Schools Proportionate Share Calculation

Save

Calculation of proportionate IDEA Part B and Preschool funds for private school students with disabilities

Complete the following calculation to determine the proportionate amount of IDEA Part B funds to be used for the provision of services to parentally placed private school children with disabilities, ages 3-21

A.	Total count of children with disabilities, ages 3-21, enrolled in district public schools from the November 1, 2015 child count	785
B.	Count of eligible parentally placed private school students, ages 3-21, as reported on the November 1, 2015 child count.	0
C.	Percentage of total - B/(A+B)	0.00%
D.	SchoolAge Allocation for 2016-2017	\$1,429,499
E.	Proportionate amount for private school students - CxD	\$0

Complete the following calculation to determine the proportionate amount of IDEA Part B funds to be used for the provision of services to parentally placed private school children with disabilities, ages 3-5

A.	Total count of children with disabilities, ages 3-5, enrolled in district public schools from the November 1, 2015 child count	79
B.	Count of eligible parentally placed private school students, ages 3-5, from the November 1, 2015 child count	0
C.	Percentage of total - B/(A+B)	0.00%
D.	Preschool Allocation for 2016-2017	\$55,517
E.	Proportionate amount for private school students - CxD	\$0

Any of the above amounts remaining as of 9/30/2017, must be obligated for the provision of services to parentally placed private schools in the next year.

In line A of the first calculation, enter the total count of children with disabilities from the district's most recent child count (including Parentally-Placed Private School Children,) all ages 3-21.

In line B enter the number of PPPSC, ages 3-21, from the district's most recent child count.

The system will automatically calculate the amount to be set aside from Part B School Age funds for services to PPPSC ages 3-21. The calculated amount will automatically be entered on the Part B School Age Budget form.

If any of the above PPPSC were ages 3-5:

In Line A of the second calculation, enter the total count of children with the disabilities from the district's most recent child count (including Parentally-Placed Private School Children,) ages 3-5 only.

In Line B enter the number of PPPSC, ages 3-5 only, from the district's most recent child count.

The system will automatically calculate the amount to be set aside from Preschool funds for services to PPPSC ages 3-5. The calculated amount will automatically be entered on the Preschool Budget form.

District Charter Schools

If there are any charters in the district that are chartered by the district, each one will be listed in the application components.

The District Charter Schools Section consists of 3 tabs:

- Assurance
- Prior Year Expenditures
- Budget.

The screenshot shows a web interface with three tabs: Assurance, Prior Year Expenditures, and Budget. The Assurance tab is active. Below the tabs is a 'Save' button. The main content area contains the following text:

The School District and Charter School understand that the Idaho State Department of Education awards IDEA Part B Federal Special Education flowthrough funds only to school districts and LEAs. The provisions of the charter determine how funds or services are made available to the Charter School for providing special education services to students attending the Charter School.

Service Provider

- The above Charter School's charter states that the District will provide all special education services to students with disabilities who are enrolled in the charter school. The District will retain all IDEA Part B funds for the provision of those services. (Completion of the Budget form is NOT required)
- The above Charter School's charter states that the Charter School will provide or contract for all special education services. The District will flow-through to the Charter School all IDEA Part B funds for which the Charter School is eligible. (Completion of the Budget form IS required)

The chartering School District and Charter School agree that the School District is fully responsible for the appropriate use of these funds in compliance with all state and federal regulations, established special education policies and procedures, and the IDEA Part B Funding Manual.

If any of these funds are flowed through to the Charter School by the School District, the Charter School will submit, to the School District, a budget that indicates fully how these funds will be used for the provision of special education services. The entire amount flowed through to the charter will be entered as a single line item on the District's IDEA Part B School Age budget.

The School District will make these funds available to the Charter School in accordance with federal cash management regulations, utilizing a grant reimbursement system similar to the system whereby the SDE provides funds to school districts.

Important Note: When entering amounts on any of the budget forms, enter numbers only. Do not enter commas, dollar signs, decimals, etc. The system will automatically format the amounts.

Assurance

Check the appropriate **Service Provider** button on the *Assurance* tab that applies to the provisions of the school's charter for providing special education services. If the second button is checked, the district must submit the charter school budget.

Prior Year Expenditures

Enter actual expenditure amounts in each budget category as of June 30th of the current year.

Note that none of the budget line item amounts that were submitted as part of the previous year's budget can be changed on this page. If a budget line item varies by 10% or more of the total, enter a detailed explanation of the change in the text box field at the bottom of the page.

Assurance	Prior Year Expenditures	Budget
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Save

IDEA Part B Charter Budget Expenditures for 2015-2016

IFARMS Obj. Code	Description	Amount Budgeted	Amount Expended as of 6/30/2016
521-100	Salaries - Teachers and Aides	<input type="text"/>	<input type="text"/>
521-200	Benefits - Teachers and Aides	<input type="text" value="\$0"/>	<input type="text" value="\$0"/>
521-300	Purchased Services	<input type="text" value="\$0"/>	<input type="text" value="\$0"/>
521-400	Supplies	<input type="text" value="\$0"/>	<input type="text" value="\$0"/>
616-100	Salaries - Related Service Personnel	<input type="text" value="\$0"/>	<input type="text" value="\$0"/>
616-200	Benefits - Related Service Personnel	<input type="text" value="\$0"/>	<input type="text" value="\$0"/>
616-300	Purchased Services	<input type="text" value="\$0"/>	<input type="text" value="\$0"/>
616-400	Supplies	<input type="text" value="\$0"/>	<input type="text" value="\$0"/>
Totals		<input type="text"/>	<input type="text"/>
Total Carryover			<input type="text" value="\$0"/>

Notes: Please use the field below to explain any significant change (> 10% of total budget) from budget amounts to actual expenditures.

Budget

Assurance Prior Year Expenditures Budget			
Save			
IDEA Part B Charter Budget for 2016-2017			
IFARMS Obj. Code	Description	Amount Budgeted	Budget Narrative
521-100	Salaries - Teachers and Aides	<input type="text"/>	The total amount of the charter school's allocation will go towards the salary of special education staff.
521-200	Benefits - Teachers and Aides	<input type="text" value="\$0"/>	<input type="text"/>
521-300	Purchased Services	<input type="text" value="\$0"/>	<input type="text"/>
521-400	Supplies	<input type="text" value="\$0"/>	<input type="text"/>
616-100	Salaries - Related Service Personnel	<input type="text" value="\$0"/>	<input type="text"/>
616-200	Benefits - Related Service Personnel	<input type="text" value="\$0"/>	<input type="text"/>
616-300	Purchased Services	<input type="text" value="\$0"/>	<input type="text"/>
616-400	Supplies	<input type="text" value="\$0"/>	<input type="text"/>
Total		<input type="text"/>	

Amount Budgeted

There is no allocation amount provided on the budget form. The allocation amount must be agreed upon by the school district and the charter school. It should be a proportionate share of the total district allocation, determined either by enrollment and low-income levels or by child count. **Note: The SDE does not provide district charter school allocations.**

After the sub-allocation amount has been determined, the district and charter school in consultation should determine the budget amounts.

Budget Narrative

Each line with a budget amount requires a budget narrative, and like the district's School Age and Preschool budgets, these narratives should be detailed enough to provide evidence that these funds will be used only for allowable excess costs of providing special education and related services to children with disabilities enrolled in the charter school.

School Age Budgets

After completing all of the above applicable set-aside budgets and narratives, you are ready to begin the School Age budgets. Any amounts that you have budgeted on the CEIS budget form, the Schoolwide budget form, and the Private School Proportionate share calculation, will be entered on the appropriate line of the current year School Age Budget.

The School Age Budget component consists of two tabs:

- Prior Year Expenditures
- Budget.

Links

[Home](#)

[IDEA Part B Funding Manual](#)

[Application Instructions](#)

2016-2017

[Change Year](#)

School Age Budgets

Prior Year Expenditures
Budget

IDEA Part B School Age Budget Expenditures for 2015-2016

Important Note: When entering amounts on any of the budget forms, enter numbers only. Do not enter commas, dollar signs, decimals, etc. The system will automatically format the amounts.

Prior Year Expenditures

The *Prior Year Expenditures* tab automatically calculates carryover and moves the carryover amount to the current year budget. It is important to complete all expenditures on this form prior to beginning the *Budget* tab.

If you have not completed entering expenditures on the *Prior Year Expenditures* tab, the entire previous year allocation will be shown as carryover on the *Budget* tab.

Review your expenditure amounts and the resulting carryover carefully. This form serves as the LEA's financial status report as required by federal regulations and submitting the form is the LEA's assurance of the accuracy of its financial status at the end of the first year of the Part B award.

School Age Budgets

Prior Year Expenditures
Budget

IDEA Part B School Age Budget Expenditures for 2015-2016

IFARMS Obj. Code	Description	Amount Budgeted	Amount Expended as of 6/30/2016
521-100	Salaries - Teachers and Aides	\$1,160,535	\$0
521-200	Benefits - Teachers and Aides	\$472,607	\$0
521-300	Purchased Services	\$15,000	\$0
521-400	Supplies	\$217,530	\$0
521-500	Capital Objects	\$50,000	\$0
616-100	Salaries - Related Service Personnel	\$0	\$0
616-200	Benefits - Related Service Personnel	\$0	\$0
616-300	Purchased Services	\$25,000	\$0
616-400	Supplies	\$0	\$0

Amount Expended as of 6/30/20xx

Enter actual expenditure amounts in each budget category as of June 30th of the current year. **If you are reporting expenditures through any other date (eg. 9/30/20xx) provide that information in the Notes field at the bottom of this screen**

Note that none of the budget line item amounts that were submitted as part of the previous year's budget can be changed on this page. If a budget line item varies by 10% or more of the total budget amount and the LEA did not apply for and receive preapproval from the SDE, enter a detailed explanation of the change in the text box field at the bottom of the page.

521-500	Capital Objects	\$0	\$0
616-100	Salaries - Related Service Personnel	\$127,558	\$120,000
616-200	Benefits - Related Service Personnel	\$72,141	\$60,000
616-300	Purchased Services	\$35,952	\$40,000
616-400	Supplies	\$0	\$0
616-500	Capital Objects	\$0	\$0
	Professional Development	\$0	\$0
	Charter School Sub-allocation	\$26,647	\$26,647
	Coordinated Early Intervening Services	\$0	\$0
	Schoolwide	\$0	\$0
	Private School Proportionate Share	\$5,273	\$2,273
	Indirect Costs - Rate: 3.9%	\$3,229	\$0
	Adjustment to a prior year's carryover		\$0
Totals		\$675,144	\$554,920
		Total Carryover	\$120,224

Notes: Please use the field below to explain any significant change (> 10% of total budget) from budget amounts to actual expenditures.

Indirect Costs

Only LEAs with a restricted indirect cost rate, as calculated and approved by Public School Finance for the previous year, may claim indirect costs on the expenditures form. The approved indirect cost rate for the previous year will appear on that line. Indirect costs may only be charged against actual expenditures less capital outlay and any charter school sub-allocations. The system will not allow entry of amounts in excess of the total allowable.

Adjustment Amount

In some cases, districts and LEAs will see an Adjustment Amount as the final line item on the previous year budget form, along with a notation of what the adjustment amount is – usually a supplemental award from expiring Part B funds. If total expenditures reported add up to at least the amount of this adjustment, carryover into the current year will be increased by this amount.

Budget

After completing and saving the previous year's budget form for expenditures, begin the current year School Age Budget form.

When you open the *Budget* tab, you will see displayed at the top left of the form the current **Allocation Amount**, any **Carryover Amount** from the *Prior Year Expenditures* tab, and the resulting **Total Budget Amount**.

School Age Budgets

IDEA Part B School Age Budget for 2016-2017

Allocation Amount	<input type="text" value="\$"/>		
Carryover Amount	<input type="text" value="\$"/>		
Total Budget Amount	<input type="text" value="\$"/>		
IFARMS Obj. Code	Description	Amount Budgeted	Budget Narrative
521-100	Salaries - Teachers and Aides	<input type="text" value="\$0"/>	<input type="text"/>
521-200	Benefits - Teachers and Aides	<input type="text" value="\$0"/>	<input type="text"/>
521-300	Purchased Services	<input type="text" value="\$0"/>	<input type="text"/>
521-400	Supplies	<input type="text" value="\$0"/>	<input type="text"/>

Completing the School Age Budget

Refer to *Allowable Uses of IDEA Part B Funds and Guide to IFARMS Accounting Codes* in the [IDEA Part B Funding Manual](#).

The current year School Age budget is where the LEA budgets the total school age or Section 611 allocation of IDEA Part B funds for allowable uses – the excess costs of providing special education and related services to children with disabilities, ages 3 through 21. It is important to note that, with the exception of amounts set aside for CEIS and Schoolwide consolidation, these excess costs, as outlined in the Allowable Uses Section of the Funding Manual, are the only costs that Part B funds may be used for. By submitting the budget completed here in the Application, the LEA is assuring that it will only expend Part B for the excess costs of special education.

Although the LEA may expend Part B funds over a 27 month period, the entire amount is budgeted here. The **Error: Budget tab – Total budgeted amount must equal the total amount available** will remain at the top of the form until the full amount has been budgeted. The application cannot be submitted until all of the allocation has been budgeted. Any funds unexpended in the first year are carried over and will be re-budgeted in the subsequent year.

Amount Budgeted

Each line item with a budget amount requires a budget narrative.

Budget Narrative

The budget narrative must be detailed enough to adequately indicate the specifics of how the LEA will expend the funds.

For example, in budget item *521-100 – Salaries-Teachers and Aides*, the narrative should detail how many and what types of positions will be funded with the amount budgeted. In *521-300 – Purchased Services* indicate how much and for what services amounts are budgeted.

Remember, the goal is to provide evidence that the LEA is budgeting for allowable, excess costs of special education and related services. If the narrative does not adequately provide that evidence, the application will not be approved and will be returned for revisions.

Note: All expenditures for the provision of services to Parentally-Placed Private School Children must be entered on the Private School Proportionate Share line. This entry automatically calculates the Private School Proportionate Share Carryover amount that is entered on the current year Budget. These carryover funds may not be used for any other purpose without prior approval of the SDE Special Education Division.

This is an example of an unacceptable budget narrative:

616-100	Salaries - Related Service Personnel	\$106,304	salaries
616-200	Benefits - Related Service Personnel	\$0	
616-300	Purchased Services	\$0	
616-400	Supplies	\$0	
616-500	Capital Objects	\$0	
	Professional Development	\$0	
	Charter School Sub-allocation	\$0	
	Coordinated Early Intervening Services	\$0	
	Schoolwide	\$0	
	Private School Proportionate Share	\$10,920	
	Private School Proportionate Share Carryover <i>Carryover amount must be fully expended by Sept 30 of current grant period.</i>	\$3,000	
	Indirect Costs - Rate: 3.8%	\$0	
	Total	\$786,399	

Charter School Sub-allocation

Those districts that have district-chartered schools and that have completed a budget for a sub-allocation for the charter school, will enter the total amount budgeted on the *IDEA Part B Charter Budget* tab.

For the Budget Narrative, just enter the name of the charter school(s). See the *District Charter Schools* application component section above.

Coordinated Early Intervening Services – This amount will be pre-populated and unchangeable.

Schoolwide – This amount will be pre-populated and unchangeable.

Private School Proportionate Share

These budget amounts will be automatically entered if the LEA budgets any amounts on their respective forms. They cannot be entered by the user here.

Private School Proportionate Share Carryover

Private School carryover amount calculated on the Expenditures tab is entered here. These funds may only be used for services to Parentally-Placed Private School Children, are expended before any of the current year PPPSC funds, and must be expended by September 30 of the current grant period.

Indirect Costs

Only LEAs with a restricted indirect cost rate as calculated and approved by Public School Finance for the current year may claim indirect costs on the school age budget. The approved indirect cost rate for the current year will appear on that line. Indirect costs may only be budgeted against total budget amounts less capital outlay and any charter school sub-allocations. The system will not allow entry of amounts in excess of the total allowable.

Preschool Budgets

If the district has checked the **LEA is applying for Preschool funds** box on the District Home page, the Preschool Budgets will be activated. ***If the box is unchecked, Preschool Budgets will not appear in the list of components.*** The Preschool Budgets are automatically deactivated for charter school LEAs since they are not eligible for these funds.

The Preschool Budgets component consists of two tabs:

- Prior Year Expenditures
- Budget.

Important Note: When entering amounts on any of the budget forms, enter numbers only. Do not enter commas, dollar signs, decimals, etc. The system will automatically format the amounts.

The Preschool Budgets function very similarly to the School Age Budgets. Begin by completing the *Prior Year Expenditures* tab.

Prior Year Expenditures

The *Prior Year Expenditure* tab automatically calculates carryover and moves the carryover amount to the current year budget. It is important to complete all expenditures on this form prior to beginning the *Budget* tab.

If you have not completed entering expenditures on the *Prior Year Expenditure* tab, the entire previous year allocation will be shown as carryover on the *Budget* tab.

Review your expenditure amounts and the resulting carryover carefully. This form serves as the LEA's financial status report as required by federal regulations and submitting the form is the LEA's assurance of the accuracy of its financial status at the end of the first year of the Part B award.

Preschool Budgets

Prior Year Expenditures Budget

Save

IDEA Part B Preschool Budget Expenditures for 2015-2016

IFARMS Obj. Code	Description	Amount Budgeted	Amount Expended as of 6/30/2016
522-100	Salaries - Teachers and Aides	\$44,302	\$0
522-200	Benefits - Teachers and Aides	\$28,324	\$0
522-300	Purchased Services	\$10,000	\$0
522-400	Supplies	\$21,984	\$0
522-500	Capital Objects	\$5,000	\$0
616-100	Salaries - Related Service Personnel	\$0	\$0
616-200	Benefits - Related Service Personnel	\$0	\$0
616-300	Purchased Services	\$0	\$0
616-400	Supplies	\$0	\$0
616-500	Capital Objects	\$0	\$0
	Professional Development	\$0	\$0
	Private School Proportionate Share	\$0	\$0
	Private School Proportionate Share Carryover <i>*not included in totals</i>	\$0	\$0
	Indirect Costs	\$0	\$0
	Adjustment to a prior year's carryover		\$0
Totals		\$109,610	\$0
Total Carryover			\$109,610

Notes: Please use the field below to explain any significant change (> 10% of total budget) from budget amounts to actual expenditures.

Amount Expended as of 6/30/20xx

Enter actual expenditure amounts in each budget category as of June 30th of the current year.

Note that none of the budget line item amounts that were submitted as part of the previous year's budget can be changed on this page. If a budget line item varies by 10% or more of the total budget amount and the LEA did not apply for and receive preapproval from the SDE, enter a detailed explanation of the change in the field at the bottom of the page.

Indirect Costs

Only LEAs with a restricted indirect cost rate as calculated and approved by Public School Finance for the previous year, may claim indirect costs on the expenditures form. The approved indirect cost rate for the previous year will appear on that line. Indirect costs may only be charged against actual expenditures less capital outlay. The system will not allow entry of amounts in excess of the total allowable.

Note: All expenditures for the provision of services to Parentally-Placed Private School Children must be entered on the Private School Proportionate Share line. This entry automatically calculates the Private School Proportionate Share Carryover amount that is entered on the current

year Budget. These carryover funds may not be used for any other purpose without prior approval of the SDE Special Education Division.

Budget

After completing and saving the previous year's budget form for expenditures, begin the current year Preschool Budget form.

When you open the *Budget* tab, you will see displayed at the top left of the form the current **Allocation Amount**, any **Carryover Amount** from the *Prior Year Expenditure* tab, and the resulting **Total Budget Amount**.

Completing the Preschool Budget

Refer to *Allowable Uses of IDEA Part B Funds and Guide to IFARMS Accounting Codes* in the [IDEA Part B Funding Manual](#).

The current year Preschool budget is where the LEA budgets the total Preschool or Section 619 allocation of IDEA funds for allowable uses – the excess costs of providing special education and related services to children with disabilities, ages 3 through 5, in preschool programs. These excess costs, as outlined in the Allowable Uses Section of the Funding Manual, are the only costs that Preschool funds may be used for. By submitting the budget completed here in the Application, the LEA is assuring that it will only expend Preschool funds for the excess costs of special education and related services for 3 through 5 year olds with disabilities.

Although the LEA may expend Preschool B funds over a 27 month period, the entire amount is budgeted here. The **Error: Budget tab – Total budgeted amount must equal the total amount available** will remain at the top of the form until the full amount has been budgeted. The application cannot be submitted until all of the allocation has been budgeted. Any funds unexpended in the first year are carried over and will be re-budgeted in the subsequent year.

Amount Budgeted

Each line item with a budget amount requires a budget narrative.

Budget Narrative

The budget narrative must be detailed enough to adequately indicate the specifics of how the LEA will expend the funds.

For example, in budget item *522-100 – Salaries-Teachers and Aides*, the narrative should detail how many and what types of positions will be funded with the amount budgeted. In *522-300 – Purchased Services*, indicate how much and for what services amounts are budgeted.

Remember, the goal is to provide evidence that the LEA is budgeting for allowable, excess costs of special education and related services. If the narrative does not adequately provide that evidence, the application will not be approved and will be returned for revisions.

Private School Proportionate Share Carryover

Private School carryover amount calculated on the Expenditures tab is entered here. These funds may only be used for services to Parentally-Placed Private School Children, are expended before any of the current year PPPSC funds, and must be expended by September 30 of the current grant period.

Indirect Costs

Only LEAs with a restricted indirect cost rate as calculated and approved by Public School Finance for the current year may claim indirect costs on the Preschool budget. The approved indirect cost rate for the

current year will appear on that line. Indirect costs may only be budgeted against total budget amounts less capital outlay. The system will not allow entry of amounts in excess of the total allowable.

Maintenance of Effort

Refer to the *Maintenance of Effort Section* of the [IDEA Part B Funding Manual](#).

The Maintenance of Effort Section of the Application consists of the six tabs shown below:

The screenshot shows the top navigation area of the 'Maintenance of Effort Worksheet and Assurance Form - 2016-2017'. It features a horizontal row of six tabs: 'Local Funds Only', 'Section I Annual Report', 'Section II Expenditures', 'Section III Budget', 'Expenditure Exception Worksheet', and 'Budget Exception Worksheet'. The 'Local Funds Only' tab is currently selected and highlighted. Below the tabs is a 'Save' button.

The first tab of the Maintenance of Effort section is the optional Local Funds Only tab, shown below:

This screenshot shows the content of the 'Local Funds Only' tab. At the top, the title 'Maintenance of Effort Worksheet and Assurance Form - 2016-2017' is displayed. Below the navigation tabs, the 'Local Funds Only' tab is selected. A 'Save' button is visible. The main content area contains the following text:

Report of Local Funds Only for IDEA Part B Maintenance of Effort

Complete this form **ONLY** if the LEA elects to report Local Funds only for the purpose of meeting the Maintenance of Effort requirements of 34 CFR §300.203

The LEA elects to use Local Funds for:

- FY 2016 compliance.
- FY 2017 eligibility.

Unless your district elects to use the Local Funds Only standard of 34 CFR §300.203 for the purpose of reporting Maintenance of Effort compliance and /or eligibility, skip this form and go on to Sections I, II and III for reporting MOE expenditures and budgets.

Note: IDEA regulations allow LEAs the option of reporting MOE expenditures and budgets using the Local Funds Only standard. Completion of the section will deactivate two or more of the other MOE tabs. Use this option only if your districts or school can provide information regarding the source of the local funds and can demonstrate ability to accurately track special education expenditure from that source. Using the Local Funds Only option may prompt enhanced fiscal monitoring of the LEA.

Depending upon the selection made at the top of the form, two or more of the other MOE tabs will be deactivated.

If the LEA checks FY 2016 Compliance, Sections I and II are hidden and the screen below is displayed:

Maintenance of Effort Worksheet and Assurance Form - 2016-2017

Local Funds Only	Section III Budget	Expenditure Exception Worksheet	Budget Exception Worksheet
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Save

Report of Local Funds Only for IDEA Part B Maintenance of Effort

Complete this form ONLY if the LEA elects to report Local Funds only for the purpose of meeting the Maintenance of Effort requirements of 34 CFR §300.203

The LEA elects to use Local Funds for:

- FY 2016 compliance.
- FY 2017 eligibility.

Local Funds Expended

Amount of Local Funds only *expended* for the education of children with disabilities in:

FY 2015:	<input type="text"/>	\$0
FY 2016:	<input type="text"/>	\$0

Comment:

If both FY 2016 Compliance and FY 2017 Eligibility are checked, the Sections I, II, and III tabs are all deactivated and the next screen is displayed:

Maintenance of Effort Worksheet and Assurance Form - 2016-2017

Local Funds Only Expenditure Exception Worksheet Budget Exception Worksheet

Save

Report of Local Funds Only for IDEA Part B Maintenance of Effort

Complete this form **ONLY** if the LEA elects to report Local Funds only for the purpose of meeting the Maintenance of Effort requirements of 34 CFR §300.203

The LEA elects to use Local Funds for:

- FY 2016 compliance.
- FY 2017 eligibility.

Local Funds Expended
Amount of Local Funds only *expended* for the education of children with disabilities in:

FY 2015: \$0

FY 2016: \$0

Local Funds Budgeted
Amount of Local Funds only *budgeted* for the education of children with disabilities in:

FY 2017: \$0

Comment:

In either case the LEA must complete each of the amounts fields by entering actual amounts of local funds only expenditures and/or budget amounts.

Section I Annual Report

This section is pre-filled with:

- Financial information reported in the LEA's Annual IFARMS report for the fiscal year that ended two years prior;
- Certain information reported in the MOE Worksheet and Assurance of the previous year's Application; and
- Child Count from two years prior.

The numbers in this section cannot be changed. However, examine the numbers carefully and if you have any questions or concerns, contact the Special Education Funding Coordinator at the SDE immediately.

Maintenance of Effort Worksheet and Assurance Form - 2016-2017					
Local Funds Only	Section I Annual Report	Section II Expenditures	Section III Budget	Expenditure Exception Worksheet	Budget Exception Worksheet
<input type="button" value="Save"/>					
Actual General Fund Expenditures as reported on Annual Report for FY 6/30/2015					
<i>Amounts as submitted on the FY 2015 IFARMS Annual Report and the 2015-2016 MOE Worksheet and Assurance</i>					
IFARMS 100-521: Special Education Program			\$3,278,634		
IFARMS 100-522: Special Education Preschool Program			\$3,812		
IFARMS 100-616: Special Education Support Services Program			\$984,561		
Combined Total			\$4,267,007		
Other Expenditures as reported on 2015-2016 MOE Assurance			\$0		
Medicaid Reimbursements as reported on 2015-2016 MOE Assurance			-\$548,104		
Post Annual report adjustments submitted by LEA			\$0		
Adjusted Total - MOE threshold for FY 2016			\$3,718,903		
Child Count 12/1/2014			736		
Average Per Pupil Expenditure FY 2015			\$5,052		

Section II Expenditures

This is the compliance section of the Maintenance of Effort Assurance. It is used to determine if the district or LEA has met the MOE expenditure requirement in the most recently ended fiscal year.

The LEA must report all of its general fund expenditures for special education and related services and none that are not excess costs of special education.

The amounts reported in the first 3 lines should agree with the amounts reported in those same 3 function/program codes on the LEA's audited financial statements and annual IFARMS report.

If there is a discrepancy after we have reviewed the IFARMS report, the amounts reported on the Annual Report will be used to determine MOE compliance.

Maintenance of Effort Worksheet and Assurance Form - 2016-2017					
Local Funds Only	Section I Annual Report	Section II Expenditures	Section III Budget	Expenditure Exception Worksheet	Budget Exception Worksheet
Save					
General Fund Expenditures for FY ended 6/30/2016					
<i>Enter your district/LEA's actual General M&O expenditures, from State and Local funds, for FY 2016. These amounts should be the same as the amounts reported on your district/LEA's annual IFARMS report for FY 2016</i>					
IFARMS 100-521: Special Education Program				\$0	
IFARMS 100-522: Special Education Preschool Program				\$0	
IFARMS 100-616: Special Education Support Services Program				\$0	
Combined Total				\$0	
List below any general fund expenditures for the excess costs of providing Special Education and Related Services not included in one of the function program codes above					
Professional Development				\$0	
Transportation Costs				\$0	
Other (Describe below)				\$0	
Exception Amount (from Expenditure Exception worksheet)				\$0	
Medicaid Reimbursements not previously accounted for in the above functions/program codes				\$0	
Adjusted Total				\$0	
Child Count 11/1/2015				785	
Average Per Pupil Expenditure FY 2016				\$0	

IFARMS 200-521, 100-522, 100-616

Complete the form by entering the total of all the LEA's expenditures in the appropriate function/programs codes in the first 3 lines.

Combined Total

The system will automatically calculate the combined total of those entries.

Professional Development, Transportation Costs, Other

If the LEA has special education or related services expenditures that are not accounted for in one of the 3 function/programs, enter the amounts into the appropriate category.

If you enter an amount in the **Other** category, you must enter a description that adequately describes what the expenditures were and how those expenditures are excess costs of special education.

Medicaid Reimbursements

Enter an amount here *only* if one or more of the IFARMS Function /program amounts is not net of Medicaid reimbursement. In leaving this field blank, the LEA is providing assurance that it is in compliance with 34CFR §300.154(g)(2) – that its calculation of MOE only includes the net amount of Medicaid reimbursable expenditures less Medicaid reimbursement for those expenditures.

Amounts entered in the Medicaid reimbursement field are automatically subtracted from the **Adjusted Total**.

The most recent **Child Count** is pre-filled and the child count number is used to calculate the **Average Per Pupil Expenditure**.

After you have saved your entries on this form, if neither the **Adjusted Total** nor the **Average Per Pupil Expenditure** is equal to or greater than the corresponding amount in Section I, you may see a message similar to the one shown below:

THE LEA DOES NOT MEET THE MOE COMPLIANCE REQUIREMENT, EITHER IN TOTAL EXPENDITURES OR PER PUPIL EXPENDITURES
Total MOE Compliance Failure: \$4,592

Expenditure Exception Worksheet

If your district or LEA can claim one or more of the allowable exceptions to MOE that occurred during the fiscal year for which the expenditures are reported, you should now complete the *Allowances for Exceptions to Maintenance of Effort Actual Expenditures* form by clicking on the *Expenditure Exception Worksheet* tab.

Maintenance of Effort Worksheet and Assurance Form - 2016-2017

Local Funds Only	Section I Annual Report	Section II Expenditures	Section III Budget	Expenditure Exception Worksheet	Budget Exception Worksheet
------------------	-------------------------	-------------------------	--------------------	---------------------------------	----------------------------

Save

Allowances for Exceptions to Maintenance of Effort Actual Expenditures

The district's Maintenance of Effort Assurance form indicates that the actual expenditures for Special Education services in school year 2015-2016 are less than those expenditures in the previous year. IDEA Part B includes certain exceptions to the Maintenance of Effort requirement (34 CFR §300.204) that allow for reductions in expenditures by the LEA.

Indicate below if your district's reduction in expenditures is attributable to any of the following. Enter the amount of the reduction in the appropriate field.

The voluntary departure, by retirement or otherwise, or departure for just cause, of special education or related services personnel:	\$0
<input type="checkbox"/> A decrease in the enrollment of children with disabilities:	\$0
The termination of the obligation of the agency to provide a program of special education to a particular child with a disability that is an exceptionally costly program, as determined by the SEA, because the child—	
Has left the jurisdiction of the LEA:	\$0
Has reached the age at which the obligation to provide FAPE to the child has terminated:	\$0
No longer needs the program of special education:	\$0
The termination of costly expenditures for long-term purchase, such as the acquisition of equipment or the construction of school facilities:	\$0
Total:	\$0

Note: New item on the Expenditure Exception Worksheet – mark this new checkbox if you are using this exception when you have a number of students leaving the LEA, or a decrease in overall child count from one year to the next and have also used the SDE prescribed calculation to compute the cost of those students.

Do not include this amount in your “Termination of Costly Obligation” section for a particular student.

Enter the exact amount of any allowable reduction that occurred during the most recent fiscal year in the appropriate box. After all allowable exceptions have been entered, click the **Save** button.

The **Total** is calculated and transferred to Section II *Expenditures* tab:

Maintenance of Effort Worksheet and Assurance Form

Local Funds Only | Section I Annual Report | **Section II Expenditures** | Section III Budget | Expenditure Worksheet

Save

General Fund Expenditures for FY ended 6/30/2016

Enter your district/LEA's actual General M&O expenditures, from State and Local funds, for FY 2016. These amounts should be the same as the amounts reported on your district/LEA's annual IFARMS report for FY 2016

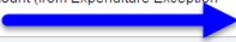
IFARMS 100-521: Special Education Program	\$0
IFARMS 100-522: Special Education Preschool Program	\$0
IFARMS 100-616: Special Education Support Services Program	\$0
Combined Total	\$0

List below any general fund expenditures for the excess costs of providing Special Education and Related Services not included in one of the function program codes above

Professional Development	\$0
Transportation Costs	\$0
Other (Describe below)	\$0
Exception Amount (from Expenditure Exception worksheet)	\$0
Medicaid Reimbursements not previously accounted for in the above functions/program codes	\$0
Adjusted Total	\$0

Child Count 11/1/2015: 785

Average Per Pupil Expenditure FY 2016: \$0



The MOE compliance is then re-calculated. If the warning message shown above disappears, the LEA has met the MOE compliance requirement.

Section III Budget

This is the eligibility component of the Maintenance of Effort section. IDEA regulations state that, in order to be eligible for Part B funding, a LEA must budget “at least the same total or per capita amount” as it expended, from state and local or local funds only, in the previous fiscal year.

Maintenance of Effort Worksheet and Assurance Form - 2016

Local Funds Only	Section I Annual Report	Section II Expenditures	Section III Budget	Expenditure Exception Worksheet																								
Save																												
<p>Budget Amounts for FY ending 6/30/2017</p> <p><i>Enter your district/LEA's Budgeted General M&O expenditures, from State and Local funds, for FY 2017.</i></p> <table border="1"> <tr> <td>IFARMS 100-521: Special Education Program</td> <td>\$0</td> </tr> <tr> <td>IFARMS 100-522: Special Education Preschool Program</td> <td>\$0</td> </tr> <tr> <td>IFARMS 100-616: Special Education Support Services Program</td> <td>\$0</td> </tr> <tr> <td>Combined Total</td> <td>\$0</td> </tr> </table> <p>List below any general fund expenditures for the excess costs of providing Special Education and Related Services not included in one of the function program codes above</p> <table border="1"> <tr> <td>Professional Development</td> <td>\$0</td> </tr> <tr> <td>Transportation Costs</td> <td>\$0</td> </tr> <tr> <td>Other (Describe below)</td> <td>\$0</td> </tr> <tr> <td>Exception Amount (from Budget Exception worksheet)</td> <td>\$0</td> </tr> <tr> <td>Medicaid Reimbursements not previously accounted for in the above functions/program codes</td> <td>\$0</td> </tr> <tr> <td>Adjusted Total</td> <td>\$0</td> </tr> <tr> <td>Estimated Child Count - November 2016</td> <td>0</td> </tr> <tr> <td>Average Per Pupil budgeted amount FY 2017</td> <td>\$0</td> </tr> </table>					IFARMS 100-521: Special Education Program	\$0	IFARMS 100-522: Special Education Preschool Program	\$0	IFARMS 100-616: Special Education Support Services Program	\$0	Combined Total	\$0	Professional Development	\$0	Transportation Costs	\$0	Other (Describe below)	\$0	Exception Amount (from Budget Exception worksheet)	\$0	Medicaid Reimbursements not previously accounted for in the above functions/program codes	\$0	Adjusted Total	\$0	Estimated Child Count - November 2016	0	Average Per Pupil budgeted amount FY 2017	\$0
IFARMS 100-521: Special Education Program	\$0																											
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Medicaid Reimbursements not previously accounted for in the above functions/program codes	\$0																											
Adjusted Total	\$0																											
Estimated Child Count - November 2016	0																											
Average Per Pupil budgeted amount FY 2017	\$0																											

IFARMS 200-521, 100-522, 100-616

Complete the form by entering the budget amounts for each of the three special education general fund function/program codes.

Combined Total

The system will automatically calculate the combined total of those entries.

Professional Development, Transportation Costs, Other

If the LEA has budgeted costs for special education or related services that will not be accounted for in one of the three function/programs, enter the budget amounts in the appropriate category.

If you enter an amount in the **Other** category, you must enter a description that adequately describes what the budget amounts are for and how those amounts are excess costs of special education.

Medicaid Reimbursements

By now, the LEA's accounting system should be configured so that only expenditures that are net of any Medicaid reimbursements are accounted for in the three special education function/program codes. However, if any of the amounts in those function/programs include budget expenditures for which the LEA will subsequently receive Medicaid reimbursement, enter the projected amount of that reimbursement here. By leaving this field blank, the LEA is providing assurance that it is in compliance with 34CFR §300.154(g)(2) – that its calculation of MOE eligibility does not treat any projected Medicaid revenue as state and local funds.

Amounts entered in the Medicaid reimbursement field are automatically subtracted from the **Adjusted Total**.

Enter your best estimate of the LEA's current year child count. The child count number is used to calculate the **Average Per Pupil budgeted amount**.

After you have saved your entries on this form, if neither the **Adjusted Total** nor the **Average Per Pupil budgeted amount** is equal to or greater than the corresponding amount in Section II, you may see a message similar to the one shown below:

THE LEA DOES NOT MEET THE MOE ELIGIBILITY REQUIREMENT,
EITHER IN TOTAL BUDGETED AMOUNT OR PER PUPIL
BUDGETED AMOUNT
Total Budgeted MOE Reduction: \$100,000

Budget Exception Worksheet

If your district or LEA can claim one or more of the allowable exceptions to MOE that will occur during the current fiscal year, you should now complete the *Allowances for Exceptions to Maintenance of Effort Budgeted Expenditures* form by clicking on the *Budget Exception Worksheet* tab.

Maintenance of Effort Worksheet and Assurance Form - 2016-2017

Local Funds Only	Section I Annual Report	Section II Expenditures	Section III Budget	Expenditure Exception Worksheet	Budget Exception Worksheet
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Save

Allowances for Exceptions to Maintenance of Effort Budgeted Expenditures

The district's Maintenance of Effort Assurance form indicates that the expenditures budgeted for Special Education services in school year 2016-2017 are less than those expenditures in the previous year. IDEA Part B includes certain exceptions to the Maintenance of Effort requirement (34 CFR §300.204) that allow for reductions in expenditures by the LEA.

Indicate below if your district's reduction in expenditures is attributable to any of the following. Enter the amount of the reduction in the appropriate field.

The voluntary departure, by retirement or otherwise, or departure for just cause, of special education or related services personnel:	\$0
<input type="checkbox"/> A decrease in the enrollment of children with disabilities:	\$0
The termination of the obligation of the agency to provide a program of special education to a particular child with a disability that is an exceptionally costly program, as determined by the SEA, because the child—	
Has left the jurisdiction of the LEA:	\$0
Has reached the age at which the obligation to provide FAPE to the child has terminated:	\$0
No longer needs the program of special education:	\$0
The termination of costly expenditures for long-term purchase, such as the acquisition of equipment or the construction of school facilities:	\$0
Total:	\$0



Note: New item on the Budget Exception Worksheet – mark this new checkbox if you are using this exception when you have a number of students leaving the LEA, or a decrease in overall child count from one year to the next and have also used the SDE prescribed calculation to compute the cost of those students. **Do not include this amount in your “Termination of Costly Obligation” section for a particular student and/or if it involves a particular student**

Enter the exact amount of any allowable reduction that will occur during the current fiscal year in the appropriate box. After all allowable exceptions have been entered, click the **Save** button.

The **Total** is calculated and transferred to Section III *Budget* tab.

Maintenance of Effort Worksheet and Assurance Form - 2016-2017	
Local Funds Only	Section I Annual Report
Section II Expenditures	Section III Budget
Expenditure Exception Worksheet	Budget Worksheet

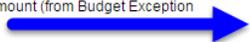
Save

Budget Amounts for FY ending 6/30/2017

Enter your district/LEA's Budgeted General M&O expenditures, from State and Local funds, for FY 2017.

IFARMS 100-521: Special Education Program	\$0
IFARMS 100-522: Special Education Preschool Program	\$0
IFARMS 100-616: Special Education Support Services Program	\$0
Combined Total	\$0

List below any general fund expenditures for the excess costs of providing Special Education and Related Services not included in one of the function program codes above

Professional Development	\$0
Transportation Costs	\$0
Other (Describe below)	\$0
Exception Amount (from Budget Exception worksheet) 	\$0
Medicaid Reimbursements not previously accounted for in the above functions/program codes	\$0
Adjusted Total	\$0

Estimated Child Count - November 2016	0
Average Per Pupil budgeted amount FY 2017	\$0

The MOE eligibility is then re-calculated. If the warning message shown above disappears, the LEA has met the MOE eligibility requirement.

Excess Cost Calculation Guidance

Excess Cost Calculation For School Districts and LEAs

As required by IDEA 2004, Section 602(8) and 34 CFR §300.16 and Appendix A to 34 CFR Part 300

Save

2015-2016 expenditures for all students (including students with disabilities)	Elementary	Secondary
From State and Local funds	\$0	\$0
From Federal Funds	\$0	\$0
Less:		
Amounts expended from federal program allocations (do not include amounts allocated but not expended in the school year and carried over)		
IDEA Part B	\$0	\$0
Title I A	\$0	\$0
Title III A and B	\$0	\$0
State and local Funds expended specifically for programs under Title I A and Title III A and B	\$0	\$0
State and local funds expended specifically for providing services to children with disabilities. The total of amounts entered here <i>must equal</i> the Adjusted Total on the Maintenance of Effort Section II Expenditures Form	\$0	\$0
Any amounts for capital outlay and debt service	\$0	\$0
Net total expenditures for all students	\$0	\$0
Calculation of average 2015-2016 per pupil expenditure for all students (including students with disabilities)		
Fall Enrollment (all students including students with disabilities, as reported to SDE November 2015)	0	0
Spring Enrollment (all students including students with disabilities, as reported to SDE March 2016)	0	0
Average Enrollment, 2015-2016	0	0
Average annual per student expenditure for all students, 2015-2016	\$0	\$0
Total number of children with disabilities served by the LEA in 2015-2016 (November 2015 Child Count)		
	0	0
Total minimum amount of funds the LEA must spend for the education of children with disabilities in elementary and secondary schools before using IDEA Part B funds	\$0	\$0

Appendix A to Part 300:

Except as otherwise provided, amounts provided to an LEA under Part B of the Act may be used only to pay the excess costs of providing special education and related services to children with disabilities. Excess costs are those costs for the education of an elementary school or secondary school student with a disability that are in excess of the average annual per student expenditure in an LEA during the preceding school year for an elementary school or secondary school student, as may be appropriate. An LEA must spend at least the average annual per student expenditure on the education of an elementary school or secondary school child with a disability before funds under Part B of the Act are used to pay the excess costs of providing special education and related services.

	2015-2016 expenditures for all students (including students with disabilities)	In lines 1 and 2 report all expenditures from the previous fiscal year made by the LEA to provide education to all student regardless of disability, low income status, or any other risk factor and regardless of source of funds. These are bottom-line expenditure amounts, not just instructional costs. The LEA must determine a reasonable and defensible methodology for allocating costs to elementary and secondary, if the accounting system does not naturally make that distinction, such as in function/programs 512 and 515.
1	From State and Local funds	All expenditures from state and local funds, not just instructional costs.
2	From Federal Funds	All expenditures from any federal source funds. Expenditures only, not allocation amounts.
	Less:	
3	Amounts expended from federal program allocations (do not include amounts allocated but not expended in the school year and carried over)	
4	IDEA Part B	All amounts expended from Part B funds (School age and Preschool.) Expenditures only, not allocation amounts.
5	Title I A	Any amounts expended from Title I A funds.
6	Title III A and B	Any amounts expended from Title III A and B.
7	State and local Funds expended specifically for programs under Title I A and Title III A and B	Report here any state and local funds used specifically to support programs under Title I A and Title III A and B
8	State and local Funds expended specifically providing services to children with disabilities. The amount entered here should equal the Adjusted Total of the Maintenance of Effort Section II Exp. Form.	This amount should agree with the amounts reported on the Maintenance of Effort Assurance, Section II, plus any amounts reported on that form for other state and local special education expenditures.
9	Any amounts for capital outlay and debt service	If amounts for capital outlay and debt were included in the line 1 total, enter those amounts here.
10	Net total expenditures for all students	This line totals lines 1 and 2 and subtracts lines 3-9
	Calculation of average 2015-2016 per pupil expenditure	
11	Fall Enrollment (all students, as reported to SDE November 2015)	Official fall enrollment, elementary and secondary, as reported in November of the previous school year.
12	Spring Enrollment (all students, as reported to SDE March 2016)	Official spring enrollment, elementary and secondary, as reported in March of the previous school year.
13	Average Enrollment, 2015-2016	Calculates the average enrollment of the previous school year.
14	Average annual per student expenditure, 2015-2016	Calculates the per-pupil average expenditures, elementary and secondary, by dividing line 10 by line 13.
15	Total number of children with disabilities served by the LEA	Report here the number of elementary and secondary students with disabilities served by the LEA from the most current data available.
16	Total minimum amount of funds the LEA must spend for the education of children with disabilities in elementary or secondary schools before using IDEA Part B funds	Line 15 times line 14. IDEA funds may only be used for those costs of providing special education and related services that are above and beyond those costs of providing education for all students – “excess costs.” This is the amount that the LEA must expend, not necessarily before, but along with IDEA funds, on the population of students with disabilities.

If the following error is reported, please refer to Section II of the Maintenance of Effort Worksheet and Assurances form and make corrections as these two corresponding totals should match.

NOTE – Once corrected, make sure to Save the corrected worksheets under both Maintenance of Effort & Excess Cost Calculation in Section II

EXAMPLE ERROR: Excess Cost funds for services to children with disabilities does not match the Maintenance of Effort Expenditures Adjusted Total

Excess Cost Calculation For School Districts and LEAs
As required by IDEA 2004, Section 602(8) and 34 CFR §300.16 and Appendix A to 34 CFR Part 300

• Error: Excess Cost funds for services to children with disabilities does not match the Maintenance of Effort Expenditures Adjusted Total

Save

2014-2015 expenditures for all students (including students with disabilities)	Elementary	Secondary
From State and Local funds	\$0	\$0
From Federal Funds	\$0	\$0
Less:		
Amounts expended from federal program allocations (do not include amounts allocated but not expended in the school year and carried over)		
IDEA Part B	\$16,365	\$16,365
Title I A	\$64,843	\$0
Title III A and B	\$0	\$0
State and local Funds expended specifically for programs under Title I A and Title III A and B	\$0	\$0
State and local funds expended specifically for providing services to children with disabilities. The total of amounts entered here must equal the Adjusted Total on the Maintenance of Effort Section II Expenditures Form	\$0	\$0
Any amounts for capital outlay and debt service	\$0	\$0
Net total expenditures for all students	-\$81,208	-\$16,365
Calculation of average 2014-2015 per pupil expenditure for all students (including students with disabilities)		
Fall Enrollment (all students including students with disabilities, as reported to SDE November 2014)	160	106
Spring Enrollment (all students including students with disabilities, as reported to SDE March 2015)	148	111
Average Enrollment, 2014-2015	154	108.5
Average annual per student expenditure for all students, 2014-2015	-\$527	-\$150
Total number of children with disabilities served by the LEA in 2014-2015 (November 2014 Child Count)	11	11
Total minimum amount of funds the LEA must spend for the education of children with disabilities in elementary and secondary schools before using IDEA Part B funds	-\$5,797	-\$1,650

Maintenance of Effort Worksheet and Assurance Form - 2015-2016

Local Funds Only | Section I Annual Report | Section II Expenditures | Section III Budget | Expenditure Exception Worksheet | But Wo

Save

General Fund Expenditures for FY ended 6/30/2015

Enter your district/LEA's actual General M&O expenditures, from State and Local funds, for FY 2015. These amounts should be the same as the amounts reported on your district/LEA's annual IFARMS report for FY 2015

IFARMS 100-521: Special Education Program	\$41,479
IFARMS 100-522: Special Education Preschool Program	\$0
IFARMS 100-616: Special Education Support Services Program	\$21,605
Combined Total	\$63,084
List below any general fund expenditures for the excess costs of providing Special Education and Related Services not included in one of the function program codes above	
Professional Development	\$0
Transportation Costs	\$0
Other (Describe below)	\$0
Exception Amount (from Expenditure Exception worksheet)	\$0
Medicaid Reimbursements not previously accounted for in the above sections/program codes	\$0
Adjusted Total	\$63,084
Child Count 11/1/2014	22
Average Per Pupil Expenditure FY 2015	\$2,867

Self-Assessment Checklist

The LEA must respond, Yes, No, or N/A to each question on the Checklist.

Fiscal Self-Assessment Accountability Checklist					
<input type="button" value="Save"/>					
Question	Description	Response	Comments	SDE Requested Documentation	Corrective Action Required
COST PRINCIPALS					
1. Does the LEA have written policies and procedures that can assure they meet the standards outlined in EDGAR, 2 CFR Part 3474 and 2 CFR 200 Subpart E 400-475?	Show/Hide Description	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	<div style="border: 1px solid black; height: 40px; width: 100%;"></div>	Add File	<div style="border: 1px solid black; height: 40px; width: 100%;"></div>
PROCUREMENT STANDARDS					
2. Does the LEA have written policies and procedures which reflect applicable State, Local, and tribal regulations that ensure that its procurement mechanism conforms to the Federal procurement standards outlined in 2 CFR Part 200 Subpart D 317-332? (If your LEA and/or the SDE procurement guidelines are more restrictive than the listed Federal guidelines then use the LEA, or state guidelines.)	Show/Hide Description	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	<div style="border: 1px solid black; height: 40px; width: 100%;"></div>	Add File	<div style="border: 1px solid black; height: 40px; width: 100%;"></div>
TIME AND EFFORT REPORTING AND CERTIFICATION					
3. Does the LEA have in place time and effort reporting that complies with the requirement of 2 CFR 200.430, 200.403(a), and OMB Circular A-87?	Show/Hide Description	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	<div style="border: 1px solid black; height: 40px; width: 100%;"></div>	Add File	<div style="border: 1px solid black; height: 40px; width: 100%;"></div>
INVENTORY MANAGEMENT					
4. Does the LEA have in place an inventory Management System and written policies for tracking property or materials purchased with Federal Funds, 2 CFR 200.33, 200.94, 2 CFR 200.20, 200.333?	Show/Hide Description	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	<div style="border: 1px solid black; height: 40px; width: 100%;"></div>	Add File	<div style="border: 1px solid black; height: 40px; width: 100%;"></div>
FINANCIAL/CASH MANAGEMENT					
5. Does the LEA have a proper accounting system in place that can track and report expenditures separate from state and local funds; that includes policies, procedures, and internal controls which ensure all special education and related services costs and any excess costs of special education and related services are being accounted for in the proper function/program code 2 CFR 200.302?	Show/Hide Description	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	<div style="border: 1px solid black; height: 40px; width: 100%;"></div>	Add File	<div style="border: 1px solid black; height: 40px; width: 100%;"></div>

EXCESS COST CALCULATION					
6. Can the LEA ensure the accuracy of its Excess Cost calculation in the annual IDEA Part B and Preschool Application?	Show/Hide Description	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A		Add File	
WRITTEN POLICIES THAT COMPLY WITH UNIFORM GRANT GUIDANCE					
7. Does the LEA have written policies and procedures on file and available for inspection that comply with the new Uniform Grant Guidance as required by 2 CFR Part 200 subparts B, C, D, E, and F?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A		Add File	
FEDERAL PROGRAM AUDIT					
8a. In the last audit year, has the LEA had an audit of federal programs that resulted in an audit finding CFR 200.317-326?		<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> N/A		Add File	
8b. If yes, have all audit findings and corrective action plans been addressed and corrected?		<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A		Add File	
STAFF TURNOVER					
Has the LEA had any of the following staff turnover in the past year? If so, please provide name and contact information in comments					
9a. Business Manager?		<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	Jane Doe JaneDoe@idahoschool1.sd1.edu (208)123-4567	Add File	
9b. Superintendent/Administrator?		<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> N/A		Add File	
9c. Special Education Program Director?		<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> N/A		Add File	

Submit/Assurance

When you click on the *Submit/Assurance* component, the system will do a validation of all required application components. If there are sections of the application that have not been completed, you will see a list similar to the one below. Prior to completing the Assurances form and submitting the application, all of these must be completed without errors.

The below listed errors need to be corrected before your application can be submitted.

Excess Cost

- Excess Cost Worksheet has not been completed

Maintenance of Effort

- Maintenance of Effort forms have not been completed

MERIDIAN MEDICAL ARTS CHARTER

- Charter School Section has not been completed

MERIDIAN TECHNICAL CHARTER HIGH

- Charter School Section has not been completed

PreSchool Budget

- Preschool Budget form has not been completed

Private Schools

- Private School Section has not been completed

SchoolAge Budget

- School Age Budget form has not been completed

Self-Assessment Accountability Checklist

- Self-Assessment Accountability Checklist has not been completed

After all application components have been completed, click on the *Submit/Assurance* component link, and the Assurance page will open.

Assurances

The LEA must respond, Yes or No, to each Assurance.

A. Assurances.		
The LEA, pursuant to Part B and Section 619 of the Individuals with Disabilities Education Act, 2004 Amendments (IDEA), hereby makes the following assurances:		
Yes (Assurance is given.)	No (Assurance cannot be given.)	Assurances Related to Policies and Procedures
<input checked="" type="radio"/>	<input type="radio"/>	The LEA will comply with all applicable provisions of the Individuals with Disabilities Education Act (IDEA), Part B and Section 619 (Preschool), 2004 Amendments, all applicable State laws, policies and procedures for special education, and such other provisions as the State Department of Education may require under the authority of IDEA.
<input checked="" type="radio"/>	<input type="radio"/>	The board of directors or trustees has adopted the 2007 Idaho Special Education Manual and all subsequent revisions (the current revision is 2015) as its set of policies and procedures for special education and has on file, available for inspection, the minutes of the meeting at which the adoption took place.
<input checked="" type="radio"/>	<input type="radio"/>	The LEA assures that it will comply with all Federal statutes, regulations, program terms and conditions of the Federal award. In addition the LEA assures that it will comply with all applicable provisions of 34 C.F.R. Part 76. Federal funds will be used in accordance with the Code of Federal Regulations 2 (C.F.R.) Part 200, Subpart D-Post Federal Award Requirements, and Subpart E-Cost Principles and Education Department General Administrative Regulations (EDGAR) as applicable.
<input checked="" type="radio"/>	<input type="radio"/>	The control of funds provided under IDEA and title to property acquired with program funds will be in the LEA and the LEA will properly administer the funds and property as required by the authorizing statutes.
<input checked="" type="radio"/>	<input type="radio"/>	The LEA will adopt and use proper methods of administering programs authorized under IDEA, including – a) the enforcement of any obligations imposed by law on agencies, institutions, organizations, and other recipients responsible for carrying out the program; and b) the correction of deficiencies in the program operations that are identified through audits, monitoring or evaluation.
<input checked="" type="radio"/>	<input type="radio"/>	The LEA assures that it will comply with the nondiscrimination provisions relating to programs and activities receiving federal financial assistance as contained in Title VI of the Civil Rights Act of 1964, as amended, 42 USC §2000d et seq., prohibiting discrimination on the basis of race, color, or national origin; Section 504 of the Rehabilitation Act of 1973, as amended, 29 USC §794, prohibiting discrimination on the basis of handicap; Title IX of the Education Amendments of 1972, as amended, 20 USC §1681 et seq., prohibiting discrimination on the basis of sex; and the Age Discrimination Act of 1975, as amended, 42 USC §6101 et seq., prohibiting discrimination on the basis of age; and all regulations, guidelines, and standards lawfully adopted under the above statutes by the U.S. Department of Education.
<input checked="" type="radio"/>	<input type="radio"/>	The LEA will keep such records and provide such information to the Idaho State Department of Education and U.S. Department of Education as may reasonably be required for program monitoring and evaluation, program data under 2 C.F.R. Part 200 Subpart E Cost Principles, and fiscal audit Subpart F Audits, consistent with the requirements of IDEA.

<input checked="" type="radio"/>	<input type="radio"/>	The LEA agrees to comply with 2 C.F.R. part 180 (OMB Debarment Suspension Rules), 2 C.F.R. 3485 (USDE Rules), and C.F.R. 200-212 Subpart C Certification regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions. In addition, the LEA certifies that neither it nor its principals are presently debarred, suspended, proposed for debarment, or otherwise excluded from or ineligible for participation in Federal assistance programs or activities or ; voluntarily excluded from participation in this transaction by any federal department or agency.
<input checked="" type="radio"/>	<input type="radio"/>	The LEA agrees to abide by the General Provision standards under 2 C.F.R. 200.400 Subpart E Cost Principles policies whereas costs must be necessary and reasonable for the performance of the Federal award.
<input checked="" type="radio"/>	<input type="radio"/>	The LEA will adopt policies and procedures that comply with EDGAR regulations parts 75-79 and 81-99 and the Uniform Grant Guidance, and adopt standards for financial management as required by 2 CFR parts 302 & 200 subparts B,C, D, E and F as set forth. Documentation of these policies or comparable policies developed by the LEA and approved by the SDE is on file and available for inspection.
<input checked="" type="radio"/>	<input type="radio"/>	The LEA agrees and assures that they have annually registered and or has a valid and active registration with SAM (System for Award Management) www.sam.gov per 2 CFR § 25.200(b).
<input checked="" type="radio"/>	<input type="radio"/>	<p>As required by Section 1352, Title 31 of the U.S. Code, and implemented at 34 CFR Part 82, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 34 CFR Part 82, Sections 82.105 and 82.110, the applicant certifies that:</p> <ul style="list-style-type: none"> • (a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement; • (b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; • (c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all subrecipients shall certify and disclose accordingly.

●	●	<p>The LEA agrees to maintain all fiscal and programmatic records for a minimum of five years and one audit year for a total of six (6) years, and will keep such records and provide such information to the Idaho State Department of Education and U.S. Department of Education as may reasonably be required for program monitoring and evaluation, program data under 2 C.F.R. Part 200 Subpart E Cost Principles, and fiscal audit Subpart F Audits, consistent with the requirements of IDEA under 34 CFR 76.731, 34 CFR 76.709, and 2 CFR 200.333. The six (6) year policy coincides with the State Department of Education's adopted Federal fiscal and programmatic record retention policy schedule.</p>	
●	●	<p>The LEA ensures that it will expend IDEA Part B and Preschool funds in accordance with all applicable provisions of the Individuals with Disabilities Education Act 34 CFR §300.202 User of Amount provision, excess cost requirements, and its implementing regulations and with the IDEA Part B Funding Manual – Allowability of Specific Items of Cost.</p>	
●	●	<p>The LEA ensures that in any fiscal year that it exercise its authority to reduce the level of expenditures from state and/or local funds under 34 CFR §300.205(a) it will use an amount of state and/or local funds equal to the reduction in expenditures to carry out activities that could be supported with funds under the ESEA regardless of whether the LEA is using funds under the ESEA for those activities and if the SDE has determined that the LEA is unable to establish and maintain programs of FAPE that meet the requirements of section 613(a) of the Act and this part or the SDE has taken action against the LEA under section 616 of the Act and subpart F of the regulations, the LEA will not reduce the level of expenditures under 34 CFR §300.205(a) for that fiscal year.</p> <p>The amount of funds expended by an LEA for early intervening services under 34 CFR §300.226 shall count toward the maximum amount of expenditures that the LEA may reduce its expenditures.</p>	
●	●	<p>The LEA ensures that in addition to the uses of IDEA Part B funds under 34 CFR §§300.202, 300.203(a), and 300.162(b), funds provided to the LEA under Part B will only be used for the following activities:</p> <ol style="list-style-type: none"> 1. Services and aids that also benefit nondisabled children. For the costs of special education and related services, and supplementary aids and services, provided in a regular class or other education-related setting to a child with a disability in accordance with the IEP of the child, even if one or more nondisabled children benefit from these services. 2. Early intervening services. To develop and implement coordinated, early intervening educational services in accordance with 34 CFR §300.226. 3. High cost special education and related services. To establish and implement cost or risk sharing funds, consortia, or cooperatives for the LEA itself, or for LEAs working in a consortium of which the LEA is a part, to pay for high cost special education and related services. 4. Administrative case management – the purchase of appropriate technology for recordkeeping, data collection, and related case management activities of teachers and related services personnel providing services described in the IEP of children with disabilities, that is needed for the implementation of those case management activities. 	

		<p>Choose one:</p> <ol style="list-style-type: none"> <input checked="" type="radio"/> The LEA adopts the National Instructional Materials Accessibility Standard for the purposes of providing instructional materials to blind persons or other persons with print disabilities and will coordinate with the National Instructional Materials Access Center (NIMAC), when purchasing print instructional materials and will acquire those instructional materials in the same manner, and subject to the same conditions as the SDE under 34 CFR §300.172. <input type="radio"/> The LEA chooses not to coordinate with the NIMAC, but will provide instructional materials to blind persons or other persons with print disabilities in a timely manner and ensures that children with disabilities who need instructional materials in accessible formats but are not included under the definition of blind or other persons with print disabilities in 34 CFR §300.172(e)(1)(i) or who need materials that cannot be produced from NIMAS files, receive those instructional materials in a timely manner. 	
<input checked="" type="radio"/>	<input type="radio"/>	The LEA ensures that it will provide the SDE with information necessary to enable the SDE to carry out its duties under Part B of IDEA, including information regarding established goals for the performance of children with disabilities under 34 CFR §300.157 and the participation of all children with disabilities in all general state and district-wide assessments under 34 CFR §300.160.	
<input checked="" type="radio"/>	<input type="radio"/>	The LEA ensures that it will make available to parents of children with disabilities and to the general public all documents relating to the eligibility of the LEA under Part B of IDEA. 34 CFR §300.212.	
<input checked="" type="radio"/>	<input type="radio"/>	The LEA ensures that it will cooperate in the Secretary's efforts under section 1308 of the ESEA to ensure the linkage of records pertaining to migratory children with disabilities for the purpose of electronically exchanging, among the States, health and educational information regarding those children.	

<input checked="" type="radio"/>	<input type="radio"/>	34 CFR §300.209 (c) The public charter school assures to be consistent with 34 §300.28 that receives funding under 34 §300.705 and the charter school is responsible for ensuring that the requirements of this part are met, unless State law assigns that responsibility to some other entity.	<p>NOTE: <i>This Assurance is specific to Public Charter Schools.</i> Districts will not have this assurance listed.</p>
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As a District with Charter Schools that are public schools of the District, the District ensures that it will comply with all of the provisions below:

34 CFR §300.209 Treatment of charter schools and their students.

- a. Rights of children with disabilities. Children with disabilities who attend public charter schools and their parents retain all rights under this part.
- b. Charter schools that are public schools of the LEA.
 - 1. In carrying out Part B of the Act and these regulations with respect to charter schools that are public schools of the LEA, the LEA must—
 - i. Serve children with disabilities attending those charter schools in the same manner as the LEA serves children with disabilities in its other schools, including providing supplementary and related services on site at the charter school to the same extent to which the LEA has a policy or practice of providing such services on the site to its other public schools; and
 - ii. Provide funds under Part B of the Act to those charter schools—
 - A. On the same basis as the LEA provides funds to the LEA's other public schools, including proportional distribution based on relative enrollment of children with disabilities; and
 - B. At the same time as the LEA distributes other Federal funds to the LEA's other public schools, consistent with the State's charter school law.
 - 2. If the public charter school is a school of an LEA that receives funding under § 300.705 and includes other public schools—
 - a. The LEA is responsible for ensuring that the requirements of this part are met, unless State law assigns that responsibility to some other entity; and
 - b. The LEA must meet the requirements of paragraph (b)(1) of this section
- c. Public charter school, that are not LEA or a school that is part of an LEA-
 - 1. the District ensures that the requirements of this part are met under 34 §300.705 and
 - 2. 34 §300.149.

NOTE: *This assurance is specific to Districts.*
Independent Public Charters will not have this assurance listed

		<p>The LEA agrees to the following terms under Sec. 300.203 Maintenance of Effort:</p> <p>a. General. Except as provided in Sec. Sec. 300.204 and 300.205, that the funds provided under Part B of the Act must not be used to reduce the level of expenditures for the education of children with disabilities made by the LEA from local funds below the level of those expenditures for the preceding fiscal year.</p> <p>b. Standard.</p> <p>1. Except as provided in paragraph (b)(2) of this section, the SEA must determine that an LEA complies with paragraph (a) of this section for purposes of establishing the LEA's eligibility for an award for a fiscal year if the LEA budgets, for the education of children with disabilities, at least the same total or per capita amount from either of the following sources as the LEA spent for that purpose from the same source for the most recent prior year for which information is available:</p> <p style="margin-left: 40px;">i. Local funds only. ii. The combination of State and local funds.</p> <p>2. An LEA that relies on paragraph (b)(1)(i) of this section for any fiscal year must ensure that the amount of local funds it budgets for the education of children with disabilities in that year is at least the same, either in total or per capita, as the amount it spent for that purpose in the most recent fiscal year for which information is available and the standard in paragraph (b)(1)(i) of this section was used to establish its compliance with this section.</p> <p>3. The SEA may not consider any expenditures made from funds provided by the Federal Government for which the SEA is required to account to the Federal Government or for which the LEA is required to account to the Federal Government directly or through the SEA in determining an LEA's compliance with the requirement in paragraph (a) of this section.</p>	
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B. Assurances for Excess Costs.

The LEA also makes the following assurance:

Yes (Assurance is given.)	No (Assurance cannot be given.)	Assurances for Excess Costs
<input checked="" type="radio"/>	<input type="radio"/>	The LEA assures that funds provided to it under IDEA Part B will be used only to pay the excess costs of providing special education and related services to children with disabilities, in accordance with 34 CFR §300.202.

C. Assurance for Highly Qualified Personnel.

The LEA also makes the following assurance:

Yes (Assurance is given.)	No (Assurance cannot be given.)	C. Assurance for Highly Qualified Personnel
<input checked="" type="radio"/>	<input type="radio"/>	Complying with IDEA 34 C.F.R. 300.156, the LEA assures that it will take measurable steps to recruit, hire, train, and retain highly qualified personnel, including special education teachers, related services providers and paraprofessionals who are appropriately and adequately prepared and trained, including that those personnel have the content knowledge and skills to serve children with disabilities in accordance with state and federal requirements.

D: Assurance for Early Childhood Interagency Agreement/Protocol.

The LEA also makes the following assurance:

Yes (Assurance is given.)	No (Assurance cannot be given.)	D. Assurance for Early Childhood Interagency Agreement/Protocol.
<input checked="" type="radio"/>	<input type="radio"/>	The district assures that it has Early Childhood Interagency Agreement/Protocols in place that meet the following criteria: <ul style="list-style-type: none">• The district has in place separate ECIA's with the Infant Toddler Program and a Head Start Program.• The ECIA's are current, written, signed, and executed documents that are reviewed every other year and rewritten.• The ECIA's define and clarify the responsibilities of each agency to ensure a coordinated, comprehensive service delivery system focusing on children ages birth through five.• All of the parties have agreed to utilize the guidance outlined in the Idaho Special Education Manual Appendix 4C entitled Early Childhood Education Transition, and the Infant Toddler Program Implementation Manual.• The ECIA's include contact information for each agency or identified entity and procedures to administer Child Find, Referrals, Transition Meetings, Evaluations, IFSP/IEP, and Dispute Resolution.

Certification.

As superintendent or other legally authorized school district official, I hereby certify that, to the best of my knowledge, the information contained in this application is true and correct. I further certify that the district will comply with the assurances required by the programs covered in this application, that the governing body of the school district has duly authorized this document, and that I am legally authorized by the school district to sign and file this document.

Clicking the below Submit button serves as my electronic signature and certification.

Save and Submit

Save and Submit Later

With the exception of **D: Assurance for Early Childhood Interagency Agreement/Protocol** (see above), each Assurance must be answered “Yes” before the Application can be submitted.

If for any reason you cannot answer “Yes” to each assurance, contact the IdeaAdministrator@sde.idaho.gov or 208-332-6916.

In the case of districts that have indicated that there are no private schools within the district, **E: Assurance for Parentally Placed Private School Children** may be answered “Yes”.

If the district responds No to **F: Assurance for Early Childhood Interagency Agreement/Protocol**, it must enter a date by which the ECIA will be fully executed in the format MM/DD/YYYY.

Save and Submit

After each assurance has been answered Yes, the **Save and Submit** button at the bottom of the Assurances page will be activated. Click the **Save and Submit** button to submit the application.

A system generated email will be generated and sent to both the SDE and the person listed as the application contact on the District Home Page.

Comments

The New Education Department General Administrative Regulations (EDGAR)

December 19, 2014 - the US Department of Education released the newly updated EDGAR, which are the rules that govern federal funding.

What was changed:

- Consolidation of the Office of Management and Budget's (OMB) Circulars A-21, A-87, A 89, A-102 and A110, A122, A-133 into a uniform set of rules.
- Information is available at: <http://www2.ed.gov/policy/fund/guid/uniform-guidance/index.html>

Debarment, Suspension, and Other Responsibility Matters

- As required by Executive Order 12549, Debarment and Suspension, with certification requirements under 34 CFR Part 82, "New Restrictions on Lobbying," 2 CFR Part 180 (OMB Guidelines to Agencies on Government wide Debarment and Suspension (Non-procurement)), as adopted at 2 CFR Part 3485", and C.F.R. 200-212 Subpart C Certification regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions.

(A) The applicant certifies that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (2)(b) of this certification; and
- (d) Have not within a three-year period preceding this application had one or more public transaction (Federal, State, or local) terminated for cause or default.

This attestation shall be treated as a material representation of fact upon which reliance will be placed when the Department of Education determines to award the covered transaction, grant, or cooperative agreement.

- Information FAQ on this subject are available: <http://www.gsa.gov/portal/content/192903>